



# UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/575,641	Thomas Falck	PHDE030356 US
INTERNATIONAL APPLICATION NO.		
PCT/IB04/52103		
I.A. FILING DATE		PRIORITY DATE
10/15/2004		10/16/2003
CONFIRMATION NO. 3605		
371 FORMALITIES LETTER		
*OC00000025457747*		

Date Mailed: 08/20/2007

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 04/12/2006
- English Translation of the IA filed on 03/19/2007
- Copy of the International Search Report filed on 04/12/2006
- Preliminary Amendments filed on 04/12/2006
- Information Disclosure Statements filed on 04/12/2006
- Oath or Declaration filed on 03/19/2007
- U.S. Basic National Fees filed on 04/12/2006
- Priority Documents filed on 04/12/2006
- Power of Attorney filed on 04/12/2006

Applicant's response filed 03/19/2007 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 12/18/2006 have not been completed.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text)

see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

CHARITTA A BURT

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**PART 2 - OFFICE COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/575,641	PCT/IB04/52103	PHDE030356 US

FORM PCT/DO/EO/916 (371 Formalities Notice)

UNITED STATES NATIONAL STAGE WORKSHEET (DO/EO)  
CHARITTA BURT, PAS

U. S. Application No. 10/575641

Publication Date 4.28.05

Publication No. WO 2005, 039149 ; U.S. Only yes No

International Application No PCT/ IB2004, 052103 Language GERMAN

Priority Document EP number 0310383.7 date 10.16.03 More PD's see IA

Priority doc present in case ✓

Correspondence Info 24737 PCT/RO/101

Residence city Aachen state/country DE citizenship DE

Copy in International Application: yes ✓ No Translation ✓ Spec pages        claim pages        Abstract       

Total Drawing Sheets        foreign text       

371 Filing Fees: paid ✓ IPER meets Art.33 (1)-(4) No fees        insufficient       

Total Claims        Chargeable        Independent        Multiple        Original claims replaced by Art.34       

Oath/Declaration: signed ✓ unsigned        defective        completed 3.19.07

Power of Attorney ✓ Small entity        Documentation: yes        no       

Biochemical Seq. Diskette: missing        in file        error report        entered date       

Biochemical Seq. listing: missing        in file        CRF statement in file        no statement       

Copy of ISR EP references        Copy of IPRE        annexes        not entered       

Article 19 Amendment: entered        not entered       

Preliminary Amendment ✓ dated 4.12.06 2<sup>nd</sup> Amendment date       

IDS ✓ dated 4.12.06 2<sup>nd</sup> submission date       

Request for Immediate Examination       

Substitute Specification        Dated       

Assignment        Extension of Time (months)       

35 USC Receipts of Request 4.12.06

Notes

Date Completion USC 371 Requirements       

Notice of Missing Requirements 12.16.06

Notice of Defective Response       

Notice of Acceptance       

Notice of Abandonment       

Notice regarding CRF Disk        / UPDATED:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

Thomas FALKCK et al.

DE030356

Serial No. 10/575641

Group Art Unit:

Filed: April 12, 2006

Confirmation:

Title: PROCESS FOR THE GRADUAL EXCHANGE OF PERSONAL INFORMATION IN  
NON-TRUSTED PEER-TO-PEER ENVIRONMENT

Honorable Commissioner of Patents and Trademarks  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION mailed on December 18, 2006, enclosed is a Declaration, properly signed by the Applicants and referring to the above case by its Serial Number and filing date, in compliance with 37 CFR 1.63, and a copy of the Notice. Accordingly, the above-identified patent application is now complete.

Please charge Deposit Account No. 14-1270 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application, as set forth in 37 CFR 1.16(e).

Respectfully submitted,

By /Paul Im/  
Paul Im,  
IP Counsel  
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